

# Estimation and Control of UAV Swarms for Distributed Monitoring Tasks

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#### A motivating example

Lessons learnt from the **Gulf of Mexico blowout** (April 10, 2010):





Image: A matrix

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- Lessons learnt from the **Gulf of Mexico blowout** (April 10, 2010):
  - 1 Difficult to predict the motion of an oil spill. The *direction of sea currents*, wind intensity, evaporation rate, oil concentration are not precisely known
  - 2 It is important to forecast **when** and **where** an oil spill will wash ashore (huge impact on nature reserves, fisheries, tourism, etc.)









Use a swarm of unmanned aerial vehicles (UAVs) for monitoring the oil spill

Previous approaches → detection and tracking of the boundary of the target region [Casbeer et al., IJSC06], [Susca et al., TCST08], [Smith et al., IJRR10]



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  - 2 The events occurring at the center of the target region are ignored
  - **3** The agents are **fully actuated**

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Fully distributed algorithm

#### Dynamic model of the UAVs

n UAVs flying at *fixed altitude*:

$$\begin{cases} \dot{p}_{ix} = v_i \cos \theta_i \\ \dot{p}_{iy} = v_i \sin \theta_i, & i \in \{1, \dots, n\} \\ \dot{\theta}_i = \omega_i \end{cases}$$

**p**<sub>i</sub> =  $[p_{ix}, p_{iy}]^T$ : **position** of agent *i* in the plane of motion **d**<sub>i</sub>  $\in [-\pi, \pi)$ : **heading** of agent *i* 

•  $[v_i, \omega_i]^T$ ,  $v_i > 0$ : forward and angular velocity of agent i



Let

$$\mathbf{p} = [\mathbf{p}_1^T, \dots, \mathbf{p}_n^T]^T \in \mathbf{\mathbb{R}}^{2n}$$

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$$\mathbf{f}(\mathbf{p}) = \frac{1}{n} \sum_{i=1}^{n} \phi(\mathbf{p}_i)$$

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$$\boldsymbol{\phi}(\mathbf{p}_i) \triangleq [p_{ix}, p_{iy}, p_{ix}^2, p_{iy}^2, p_{ix}p_{iy}, p_{ix}^3, p_{iy}^3, p_{ix}^2p_{iy}, \dots]^T$$

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1st and 2nd-order moments

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$$\mathbf{f}(\mathbf{p})\,-\,\mathbf{f}^{\star}$$

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The **goal vector**  $\mathbf{f}^*$  defines the **desired shape** of the formation

For the time being, we assume:

f<sup>\*</sup> constant

We will relax these hypotheses later on ....

Consider the **potential function**:

$$\Pi(\mathbf{p}) = (\mathbf{f}(\mathbf{p}) - \mathbf{f}^{\star})^{T} \mathbf{\Gamma} \ (\mathbf{f}(\mathbf{p}) - \mathbf{f}^{\star})$$

where  $\Gamma \in {\rm I\!R}^{5 \times 5}$  is an assigned symmetric positive-definite gain matrix

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$$\mathbf{g}_{i}(t) \triangleq -\nabla_{\mathbf{p}_{i}} \Pi(\mathbf{p}(t)) = -(\mathcal{J}\boldsymbol{\phi}(\mathbf{p}_{i}(t)))^{T} \boldsymbol{\Gamma}(\mathbf{f}(\mathbf{p}(t)) - \mathbf{f}^{\star})$$

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Define the **control input** for agent *i* as:

$$v_i(t) = v, \quad \omega_i(t) = \rho \alpha_i(t)$$

where v is a positive constant and  $\rho$  is a positive gain

# Nonlinear gradient controller: geometric interpretation



The angular control forces the heading direction of agent i to align with the antigradient of the potential function  $\Pi(\mathbf{p})$ 

#### Properties of the controller

a) For any  $\epsilon > 0$ , there exists a sufficiently large gain  $\rho$  such that  $f(p) - f^*$  is uniformly ultimately bounded with an ultimate bound  $\epsilon$ 

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a) For any  $\epsilon > 0$ , there exists a sufficiently large gain  $\rho$  such that  $f(p) - f^*$  is uniformly ultimately bounded with an ultimate bound  $\epsilon$ 

b) For 
$$i, j \in \{1, \ldots, n\}, i \neq j$$
, let

$$d_{ij}^{\theta}(t) \triangleq \operatorname{proj}(\theta_i(t) - \theta_j(t))$$
$$d_{ij}^{\omega}(t) \triangleq \omega_i(t) - \omega_j(t)$$

Then, for any  $\epsilon_\theta,\,\epsilon_\omega>0$  there exists a sufficiently large constant  $\mu\in{\rm I\!R}_{>0}$  satisfying

$$\begin{split} & \mathbf{\Gamma}[1,1],\,\mathbf{\Gamma}[2,2] \geq \mu \big| \mathbf{\Gamma}[h,l] \big|, \quad h,l \in \{1,\ldots,5\}, \quad (h,l) \neq \{(1,1),\,(2,2)\} \\ \text{such that } |d^{\theta}_{ij}(t)|,\, |d^{\omega}_{ij}(t)| \text{ are uniformly ultimately bounded with ultimate bounds } \epsilon_{\theta},\, \epsilon_{\omega} \end{split}$$

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#### Illustrative example - 1

Trajectory of n = 4 agents implementing the gradient controller with:

- $\bullet v = 1, \ \rho = 0.5$
- **f**<sup> $\star$ </sup> = [10, 5, 800, 100, 10]<sup>T</sup>
- $\Gamma = diag(1000, 1000, 0.1, 0.1, 0.1)$



#### Illustrative example - 2

Trajectory of n = 5 agents implementing the gradient controller with:

• 
$$v = 1000$$
,  $\rho = 1$ 

- $\mathbf{f}^{\star} = [10^2, \, 3 \times 10^2, \, 1.7 \times 10^5, \, 0.7 \times 10^5, \, 1.3 \times 10^5]^T$
- $\blacksquare \ \Gamma = \mathsf{diag}(10^3, 10^3, 10^{-3}, 10^{-3}, 10^{-3})$

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- We need suitable *distributed algorithms* to **locally estimate** :
  - **1** The swarm moment function  $\mathbf{f}(\mathbf{p})$
  - 2 The vector of desired geometric moments using the *environmental data* We will call it  $\mathbf{f}_{env}^{\star} \longrightarrow environmental goal vector$

Let  $\mathbf{q}_k = [q_{kx}, q_{ky}]^T$  be the *position* of the k-th of N particles describing the occurrence of some event of interest in a set  $\mathcal{Q} \subset \mathbb{R}^2$  and evolving according to

$$\dot{\mathbf{q}} = \mathbf{\Upsilon}(\mathbf{q}, t), \quad \mathbf{q} = [\mathbf{q}_1^T, \dots, \mathbf{q}_N^T]^T$$

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- Each agent is equipped with a **limited-footprint sensor**, hence it can measure the *x*-, *y*-coordinates of only a **subset** of the *N* particles
- Assumption: agent *i* processes only the  $N_i < N$  particles lying within the Voronoi cell  $V_i$  that it generates, from which it computes the vector:

• Voronoi partition of the set  $\mathcal{Q}$ 



In order to obtain *local estimates* of  $\mathbf{f}(\mathbf{p})$  and of the *environmental goal vector* 

$$\mathbf{f}_{\mathsf{env}}^{\star} \triangleq \frac{1}{N} \sum_{k=1}^{N} \phi(\mathbf{q}_k) = \frac{1}{N} \sum_{i=1}^{n} \mathbf{h}_i$$

agent *i* runs a **proportional-integral (PI) average consensus estimator** [Yang *et al.*, TAC08], [Lynch *et al.*, TRO08]:

$$\begin{split} \dot{\boldsymbol{\xi}}_{i} &= -\gamma \, \boldsymbol{\xi}_{i} - \sum_{j \neq i} \sigma(\mathbf{p}_{i}, \mathbf{p}_{j}) \left(\boldsymbol{\xi}_{i} - \boldsymbol{\xi}_{j}\right) + \sum_{j \neq i} \tau(\mathbf{p}_{i}, \mathbf{p}_{j}) \left(\boldsymbol{\eta}_{i} - \boldsymbol{\eta}_{j}\right) + \gamma \begin{bmatrix} \boldsymbol{\phi}(\mathbf{p}_{i}) \\ \mathbf{h}_{i} \\ N_{i} \end{bmatrix} \\ \dot{\boldsymbol{\eta}}_{i} &= -\sum_{j \neq i} \tau(\mathbf{p}_{i}, \mathbf{p}_{j}) \left(\boldsymbol{\xi}_{i} - \boldsymbol{\xi}_{j}\right) \\ \boldsymbol{\chi}_{i} &= \boldsymbol{\xi}_{i}[1:5] - \frac{\boldsymbol{\xi}_{i}[6:10]}{\boldsymbol{\xi}_{i}[11]} \end{split}$$

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- **\xi\_i \in \mathbb{R}^{11}:** agent *i*'s **estimate** of the average of all the agents' input

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- $\boldsymbol{\xi}_i \in {\rm I\!R}^{11}$ : agent i's estimate of the average of all the agents' input
- $\boldsymbol{\eta}_i \in \mathbb{R}^{11}$ : internal state of the PI estimator
- $\gamma \in \mathbb{R}_{>0}$ : global forgetting factor governing the rate at which new information replaces the old one in the dynamic averaging process

$$\begin{split} \dot{\boldsymbol{\xi}}_{i} &= -\gamma \boldsymbol{\xi}_{i} - \sum_{j \neq i} \sigma(\mathbf{p}_{i}, \mathbf{p}_{j}) \left(\boldsymbol{\xi}_{i} - \boldsymbol{\xi}_{j}\right) + \sum_{j \neq i} \tau(\mathbf{p}_{i}, \mathbf{p}_{j}) \left(\boldsymbol{\eta}_{i} - \boldsymbol{\eta}_{j}\right) + \gamma \begin{bmatrix} \boldsymbol{\phi}(\mathbf{p}_{i}) \\ \mathbf{h}_{i} \\ N_{i} \end{bmatrix} \\ \dot{\boldsymbol{\eta}}_{i} &= -\sum_{j \neq i} \tau(\mathbf{p}_{i}, \mathbf{p}_{j}) \left(\boldsymbol{\xi}_{i} - \boldsymbol{\xi}_{j}\right) \\ \boldsymbol{\chi}_{i} &= \boldsymbol{\xi}_{i}[1:5] - \frac{\boldsymbol{\xi}_{i}[6:10]}{\boldsymbol{\xi}_{i}[11]} \end{split}$$

- $[\boldsymbol{\phi}(\mathbf{p}_i)^T, \mathbf{h}_i^T, N_i]^T \in \mathbb{R}^{10} imes \mathbb{Z}_{>0}$ : agent *i*'s input
- $\boldsymbol{\xi}_i \in {\rm I\!R}^{11}$ : agent i's estimate of the average of all the agents' input
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- $\chi_i \in \mathbb{R}^5$ : output of the PI estimator  $\longrightarrow$  agent i's estimate of  $\mathbf{f}(\mathbf{p}) \mathbf{f}_{\mathsf{env}}^{\star}$

# Closed-loop stability

#### **Theorem** - (Main result)

Suppose that the topology of the network remains always connected in forward time. Suppose that  $n \ge 3$  is fixed and that the control input of agent i is of the form

$$v_i(t) = v, \quad \omega_i(t) = \rho \, \alpha_i(t)$$

with  $\alpha_i(t) \triangleq \operatorname{proj}(\operatorname{arg}(\mathbf{g}_i(t)) - \theta_i(t))$  and

$$\mathbf{g}_i(t) = -(\mathcal{J}\boldsymbol{\phi}(\mathbf{p}_i(t)))^T \mathbf{\Gamma} \boldsymbol{\chi}_i(t)$$

Let us also suppose that  $\|\Upsilon_k(\mathbf{q}, t)\|$ ,  $\forall k \in \{1, \dots, N\}$ , is sufficiently smaller than v. Then, for almost every initial configuration of the agents:

- Each trajectory of the swarm system is bounded in forward time
- For any  $\epsilon > 0$ , there exists a sufficiently large gain  $\rho$  such that the error  $\mathbf{f}(\mathbf{p}) \mathbf{f}_{env}^*$  is uniformly ultimately bounded with an ultimate bound  $\epsilon$

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#### **Proof:** It leverages the small-gain theorem

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• n = 4 agents

- Gradient controllers: v = 1,  $\rho = 3$  and  $\Gamma = \text{diag}(100, 100, 0.1, 0.1, 0.1)$
- Pl estimators:  $\gamma = 7$  and  $\sigma(\cdot, \cdot)$ ,  $\tau(\cdot, \cdot)$  are chosen according to an equal weighting scheme with a communication radius R = 27 m:

$$\begin{cases} \sigma(\mathbf{p}_i, \mathbf{p}_j) = 25 \text{ and } \tau(\mathbf{p}_i, \mathbf{p}_j) = 0.8, & \text{if } \|\mathbf{p}_i - \mathbf{p}_j\| \le R\\ \sigma(\mathbf{p}_i, \mathbf{p}_j) = \tau(\mathbf{p}_i, \mathbf{p}_j) = 0, & \text{otherwise} \end{cases}$$

**•** N = 200 particles drawn from a **bivariate normal distribution**  $\mathcal{N}(\boldsymbol{\mu}, \boldsymbol{\Sigma})$  with:

$$\boldsymbol{\mu} = \begin{bmatrix} 10\\5 \end{bmatrix}, \qquad \boldsymbol{\Sigma} = \begin{bmatrix} 70 & 1\\1 & 70 \end{bmatrix}$$



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• Time history of  $\Pi_{env}(\mathbf{p}) = (\mathbf{f}(\mathbf{p}) - \mathbf{f}_{env}^{\star})^T \, \mathbf{\Gamma} \, \left(\mathbf{f}(\mathbf{p}) - \mathbf{f}_{env}^{\star}\right)$ 



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•  $\mathbf{f}_{env}^{\star}(t)$  (dashed) and  $\mathbf{f}(\mathbf{p}(t))$  (solid): 1st-order (left) and 2nd-order moments (right)



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New estimation-and-control strategy for distributed monitoring tasks

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- Closed-loop stability analysis

#### Future challenges

Extension of our strategy to SE(3) and to vehicles with non-constant positive forward velocity

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- Extension of our strategy to SE(3) and to vehicles with non-constant positive forward velocity
- Use 2nd-order central moments in order to have a *translation-invariant* description of the desired swarm configuration
- Test our estimation-and-control algorithm on real data (e.g., on recorded or simulated trajectories of marine oil spills)



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